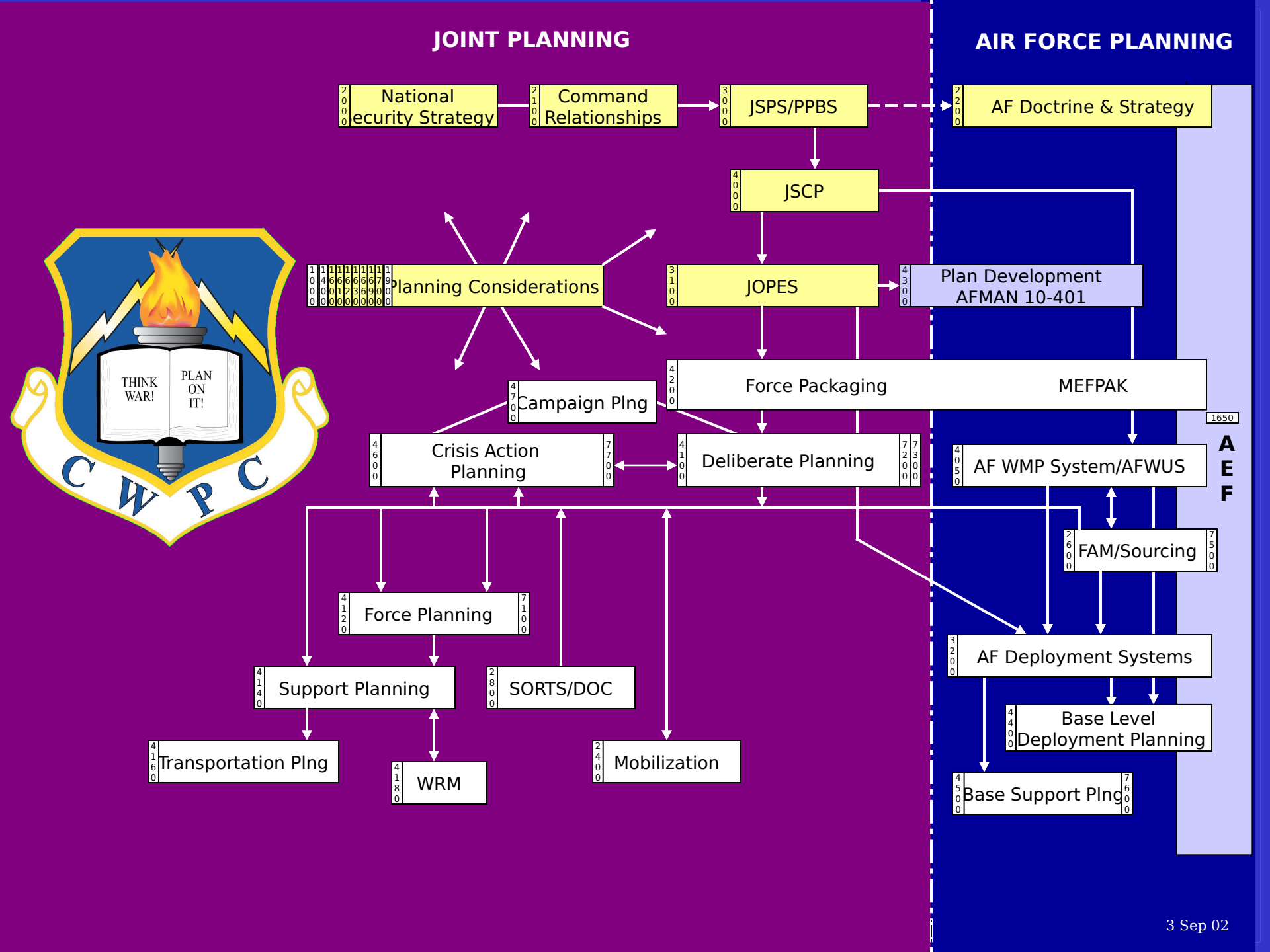
AFMAN 10-401/ Plan Development IP-4300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THINK WAR!
PLAN ON IT!
C W P C
3 Sep 02

Overview

- Lesson Objective
- Policy and Guidance (AFMAN 10-401 Vol. I)
- Example Operation Plan (AFMAN 10-401 Vol. II)
- Planning Documents
- A Look at General or Functional Planning

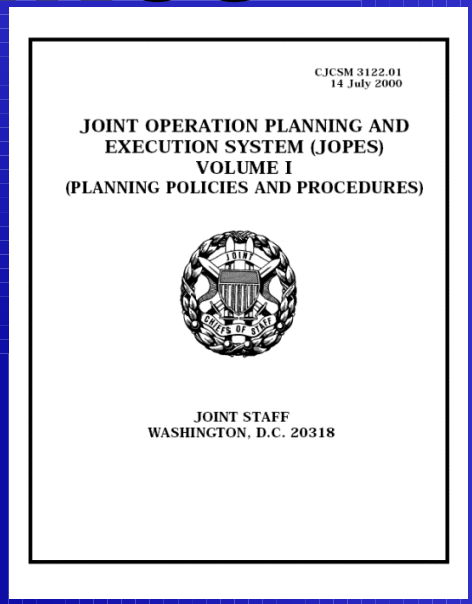
Objective

The object of this lesson is for each student to understand the relationship between the JOPES volumes and the volumes of AFMAN 10-401, and to identify planning guidance in AFMAN 10-401.

Policy and Guidance

- JOPES Volume I

...sets forth planning policies and procedures to govern joint activities..... It provides military guidance....and prescribes doctrine and selected joint tactics, techniques, and procedures for joint operations and training. It provides guidance for use by the Armed Forces in preparing their appropriate plans.



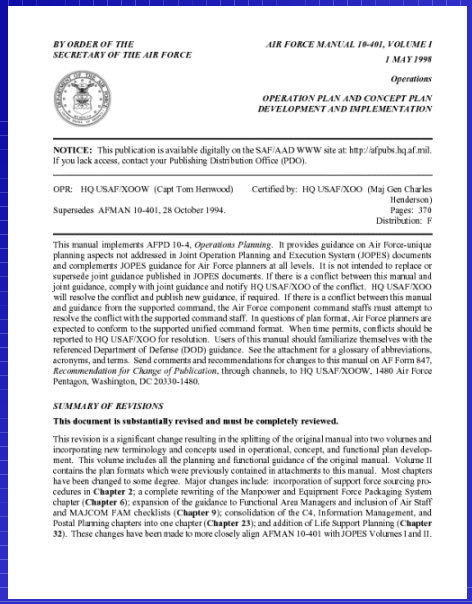
CJCSM 3122.01
14 July 2000

JOINT OPERATION PLANNING AND EXECUTION SYSTEM (JOPES)
VOLUME I
(PLANNING POLICIES AND PROCEDURES)

JOINT STAFF
WASHINGTON, D.C. 20318

- AFMAN 10-401 Volume I

...provides guidance on Air Force-unique planning aspects not addressed in JOPES and complements JOPES guidance for Air Force planners at all levels.



BY ORDER OF THE SECRETARY OF THE AIR FORCE

AIR FORCE MANUAL 10-401, VOLUME I
1 MAY 1998

Operations

OPERATION PLAN AND CONCEPT PLAN DEVELOPMENT AND IMPLEMENTATION

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OPR: HQ USAF/XOOW (Capt Tom Henwood) Certified by: HQ USAF/XOO (Maj Gen Charles Henderson)
Supersedes AFMAN 10-401, 28 October 1994. Pages: 370
Distribution: F

This manual implements AFPD 10-4, *Operations Planning*. It provides guidance on Air Force-unique planning aspects not addressed in Joint Operation Planning and Execution System (JOPES) documents and complements JOPES guidance for Air Force planners at all levels. It is not intended to replace or supersede joint guidance published in JOPES documents. If there is a conflict between this manual and joint guidance, comply with joint guidance and notify HQ USAF/XOO of the conflict. HQ USAF/XOO will resolve the conflict and publish new guidance, if required. If there is a conflict between this manual and guidance from the supported command, the Air Force component command staffs must attempt to resolve the conflict with the supported command staff. In questions of plan format, Air Force planners are expected to conform to the supported unified command format. When time permits, conflicts should be reported to HQ USAF/XOO for resolution. Users of this manual should familiarize themselves with the referenced Department of Defense (DOD) guidance. See the attachment for a glossary of abbreviations, acronyms, and terms. Send comments and recommendations for changes to this manual on AF Form 847, *Recommendation for Change of Publication*, through channels, to HQ USAF/XOOW, 1480 Air Force Pentagon, Washington, DC 20330-1480.

SUMMARY OF REVISIONS

This document is substantially revised and must be completely reviewed.

This revision is a significant change resulting in the splitting of the original manual into two volumes and incorporating new terminology and concepts used in operational, concept, and functional plan development. This volume includes all the planning and functional guidance of the original manual. Volume II contains the plan formats which were previously contained in attachments to this manual. Most chapters have been changed to some degree. Major changes include: incorporation of support force sourcing procedures in **Chapter 2**; a complete rewriting of the Manpower and Equipment Force Packaging System chapter (**Chapter 6**); expansion of the guidance to Functional Area Managers and inclusion of Air Staff and MAJCOM FAM checklists (**Chapter 9**); consolidation of the C4, Information Management, and Postal Planning chapters into one chapter (**Chapter 23**); and addition of Life Support Planning (**Chapter 32**). These changes have been made to more closely align AFMAN 10-401 with JOPES Volumes I and II.

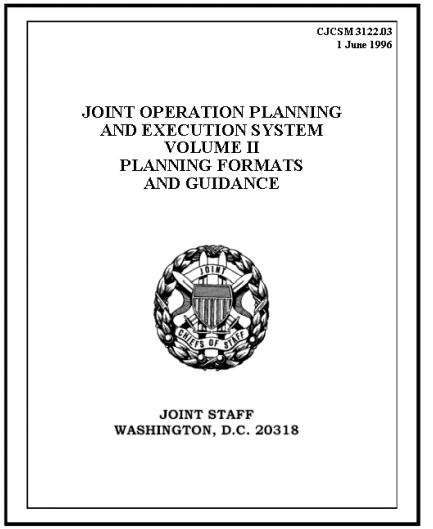
AFMAN 10-401

- Vol I, Operation Plan and Concept Development and Implementation
  - General Planning Guidance (Chapters 1-9)
  - Functional Specific Guidance (Chapters 10-33)

Plan Format

- JOPES Volume II

The formats and procedures in this document are mandatory for the Joint Staff, all combatant commands, Services, and combat support agencies…



CJCSM 3122.03
1 June 1996

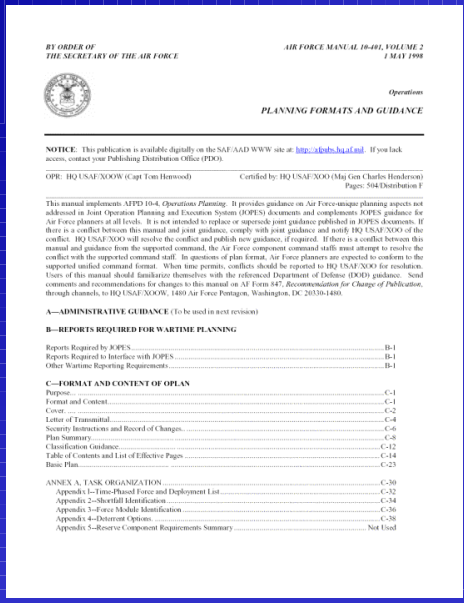
JOINT OPERATION PLANNING
AND EXECUTION SYSTEM
VOLUME II
PLANNING FORMATS
AND GUIDANCE

JOINT STAFF
WASHINGTON, D.C. 20318

- AFMAN 10-401 Vol II

(Planning formats and guidance)

For questions of plan format, AF planners are expected to conform to the supported unified command format



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AIR FORCE MANUAL 10-401, VOLUME 2
1 MAY 1998

Operations

PLANNING FORMATS AND GUIDANCE

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OPR: HQ USAF/XOOW (Capt Tom Henwood) Certified by: HQ USAF/XOO (Maj Gen Charles Henderson)
Pages: 504/Distribution F

This manual implements AFPD 10-4, *Operations Planning*. It provides guidance on Air Force-unique planning aspects not addressed in Joint Operation Planning and Execution System (JOPES) documents and complements JOPES guidance for Air Force planners at all levels. It is not intended to replace or supersede joint guidance published in JOPES documents. If there is a conflict between this manual and joint guidance, comply with joint guidance and notify HQ USAF/XOO of the conflict. HQ USAF/XOO will resolve the conflict and publish new guidance, if required. If there is a conflict between this manual and guidance from the supported command, the Air Force component command staffs must attempt to resolve the conflict with the supported command staff. In questions of plan format, Air Force planners are expected to conform to the supported unified command format. When time permits, conflicts should be reported to HQ USAF/XOO for resolution. Users of this manual should familiarize themselves with the referenced Department of Defense (DOD) guidance. Send comments and recommendations for changes to this manual on AF Form 847, *Recommendation for Change of Publication*, through channels, to HQ USAF/XOOW, 1480 Air Force Pentagon, Washington, DC 20330-1480.

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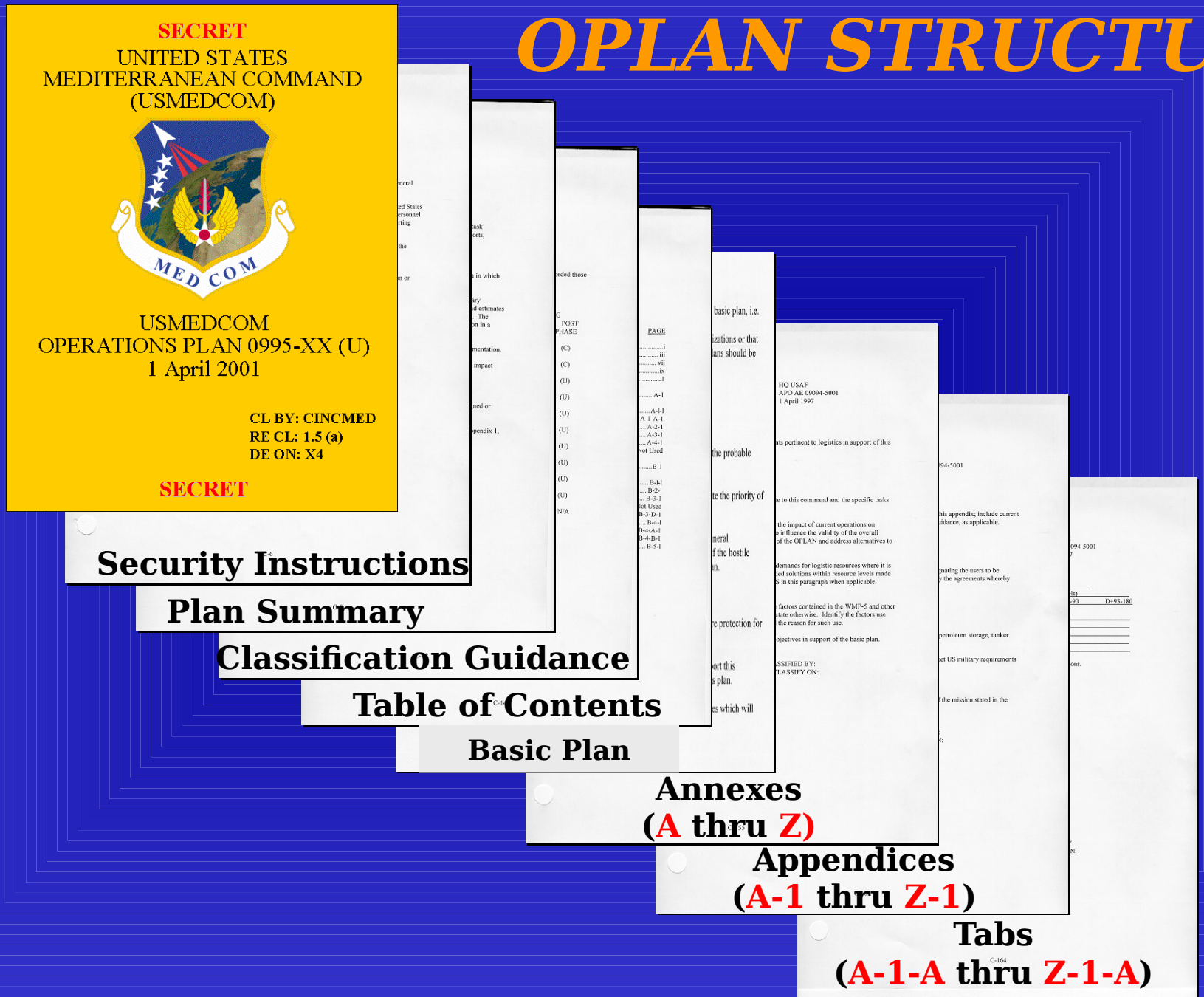
  - B (Reports Required for Wartime Planning
  - C (Format and Content of OPLAN)
  - D (Format and Content of CONPLAN and Functional Plans)
  - E (Commander's Estimate)
  - F (Planning Checklist)

OPLAN STRUCTURE



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(A thru Z)
Appendices
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Tabs
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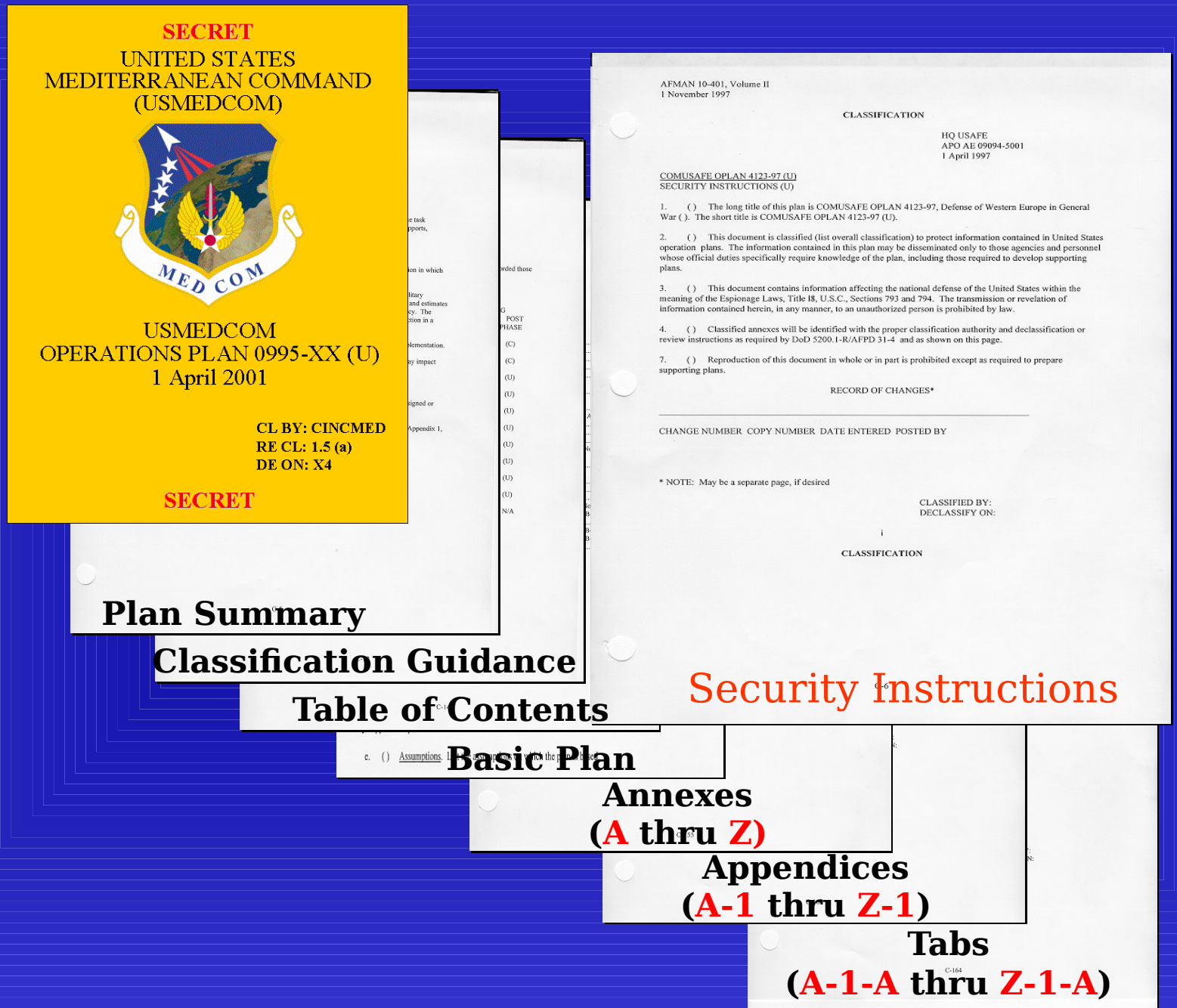
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AFMAN 10-401, Volume II
1 November 1997
CLASSIFICATION
HQ USAFE
APO AE 09094-5001
1 April 1997
COMUSAFE OPLAN 4123-97 (U)
SECURITY INSTRUCTIONS (U)
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3. ( ) This document contains information affecting the national defense of the United States within the meaning of the Espionage Laws, Title 18, U.S.C., Sections 793 and 794. The transmission or revelation of information contained herein, in any manner, to an unauthorized person is prohibited by law.
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Security Instructions

COMUSAFE OPLAN 4123-97 (U)
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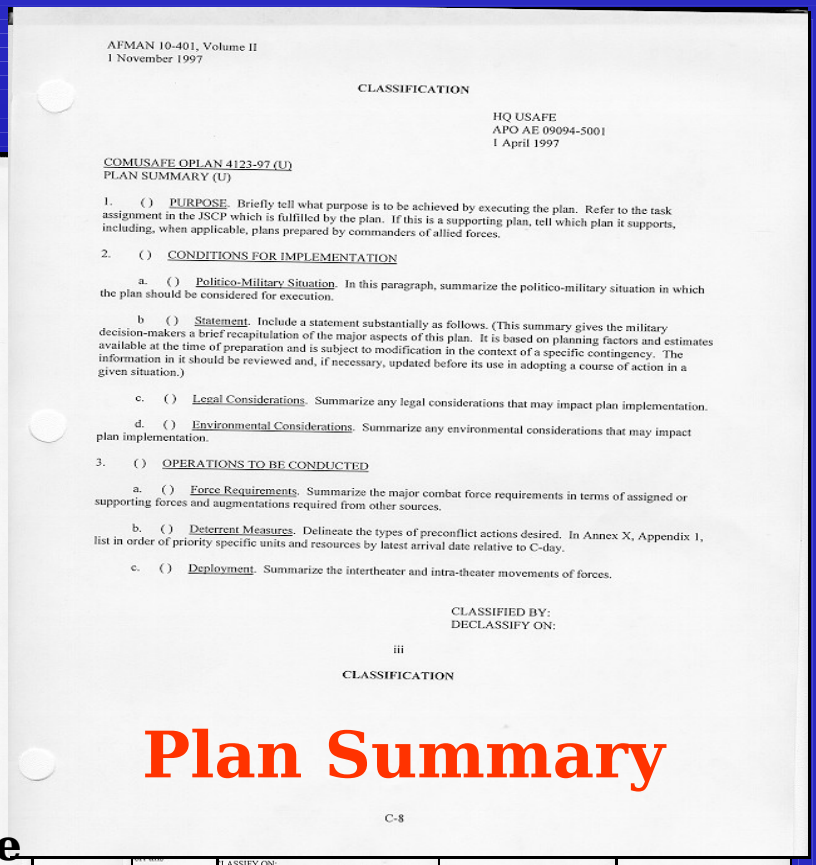
AFMAN 10-401, Volume II
1 November 1997
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HQ USAFE
APO AE 09094-5001
1 April 1997
COMUSAFE OPLAN 4123-97 (U)
PLAN SUMMARY (U)
1. ( ) PURPOSE. Briefly tell what purpose is to be achieved by executing the plan. Refer to the task assignment in the JSCP which is fulfilled by the plan. If this is a supporting plan, tell which plan it supports, including, when applicable, plans prepared by commanders of allied forces.
2. ( ) CONDITIONS FOR IMPLEMENTATION
a. ( ) Politico-Military Situation. In this paragraph, summarize the politico-military situation in which the plan should be considered for execution.
b ( ) Statement. Include a statement substantially as follows. (This summary gives the military decision-makers a brief recapitulation of the major aspects of this plan. It is based on planning factors and estimates available at the time of preparation and is subject to modification in the context of a specific contingency. The information in it should be reviewed and, if necessary, updated before its use in adopting a course of action in a given situation.)
c. ( ) Legal Considerations. Summarize any legal considerations that may impact plan implementation.
d. ( ) Environmental Considerations. Summarize any environmental considerations that may impact plan implementation.
3. ( ) OPERATIONS TO BE CONDUCTED
a. ( ) Force Requirements. Summarize the major combat force requirements in terms of assigned or supporting forces and augmentations required from other sources.
b. ( ) Deterrent Measures. Delineate the types of preconflict actions desired. In Annex X, Appendix 1, list in order of priority specific units and resources by latest arrival date relative to C-day.
c. ( ) Deployment. Summarize the intertheater and intra-theater movements of forces.
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COMUSAFE OPLAN 4123-97 (U)
PLAN SUMMARY (U)

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Brief statement on the plan's

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c. ( ) Deployment. Summarize the intertheater and intra-theater movements of forces.

4. ( ) KEY ASSUMPTIONS. List the key assumptions that are absolutely essential to the logic of the plan.

5. ( ) OPERATIONAL CONSTRAINTS. List major factors that may impede accomplishing the mission.

6. ( ) TIME TO COMMENCE EFFECTIVE OPERATIONS. In a table, show the time-phased, incremental buildup of combat forces in the objective area. Show clearly which combat forces must be available in the area of operations before effective operations can commence. Also, show the elapsed time following an order to implement the plan, when each significant level of combat force that the plan requires could begin effective operations in the objective area. Base the listing on the lowest level of force (defined as the smallest force increment which could initiate effective operations). List successively higher force levels, up to the maximum level called for in the basic plan. List any assumptions applied in preparing this table which are not specified in the plan. Consider forces to be deployed or employed to be at normal conditions of readiness when determining time to commence effective operations. For example, assume no advance preparations except deception and other measures permitted by JSCP. Consider these additional factors and others which pertain to the specific plan:

a. ( ) Time required to carry out PSYOP and deception measures as specified in the relevant PSYOP and deception plan.

b ( ) Time for preparing and transmitting necessary orders.

c. ( ) Reaction time, including all necessary preparations for movement, and if necessary, staging.

7. ( ) COMMAND RELATIONSHIPS. Summarize the command arrangements to be employed in executing the plan.

8. ( ) LOGISTICS APPRAISAL. Provide an estimate of logistic feasibility.

9. ( ) PERSONNEL APPRAISAL. Provide an estimate of personnel feasibility.

10. ( ) CONSOLIDATED LISTING AND IMPACT ASSESSMENT OF SHORT-FALLS AND LIMITING FACTORS. Provide a consolidated listing and impact assessment of force, movement, support shortfalls, and limiting factors that impact significantly on the conduct of operations. Specify the tasks that cannot be accomplished because of the shortfalls and the efforts to resolve it in the respective annex to the plan. Address additional forces, including combat support and combat service support, recommended by the supported commander to reduce risk but not allocated by the services, in the Plan Summary. Do not list these forces in Appendix 2 to Annex A of the plan.

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Security Instructions

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AFMAN 10-401, Volume II
1 November 1997

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2. ( ) Use the format in this illustrative model or another suitable format:

SUBJECT REQUIRING PROTECTION	PROTECTION REQUIRED DURING PLAN PHASE	PREP PHASE	EXEC PHASE	POST PHASE
Operation Code Word	(TS)	(S)	(C)	(C)
Concept of Operations	(TS)	(S)	(C)	(C)
Classification Guide	(C)	(C)	(U)	(U)
Date Operation Begins	(TS)	(TS)	(U)	(U)
Participating Units	(TS)	(C)	(U)	(U)
Force Deployment Data	(S)	(S)	(S)	(U)
Employment of Chemical Weapons	(TS)	(TS)	(C)	(U)
Employment of Nuclear Weapons	(TS)	(TS)	(U)	(U)
Combat Rescue Support	(C)	(C)	(C)	(U)
Target Area Weather Information	(S)	(S)	(S)	(U)
Residual Capabilities After Operations	N/A	N/A	N/A	N/A

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CLASSIFICATION

Classification Guidance

Classification Guidance

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Target Area Weather Information	(S)	(S)	(S)	(U)
Residual Capabilities After Operations	N/A	N/A	N/A	N/A

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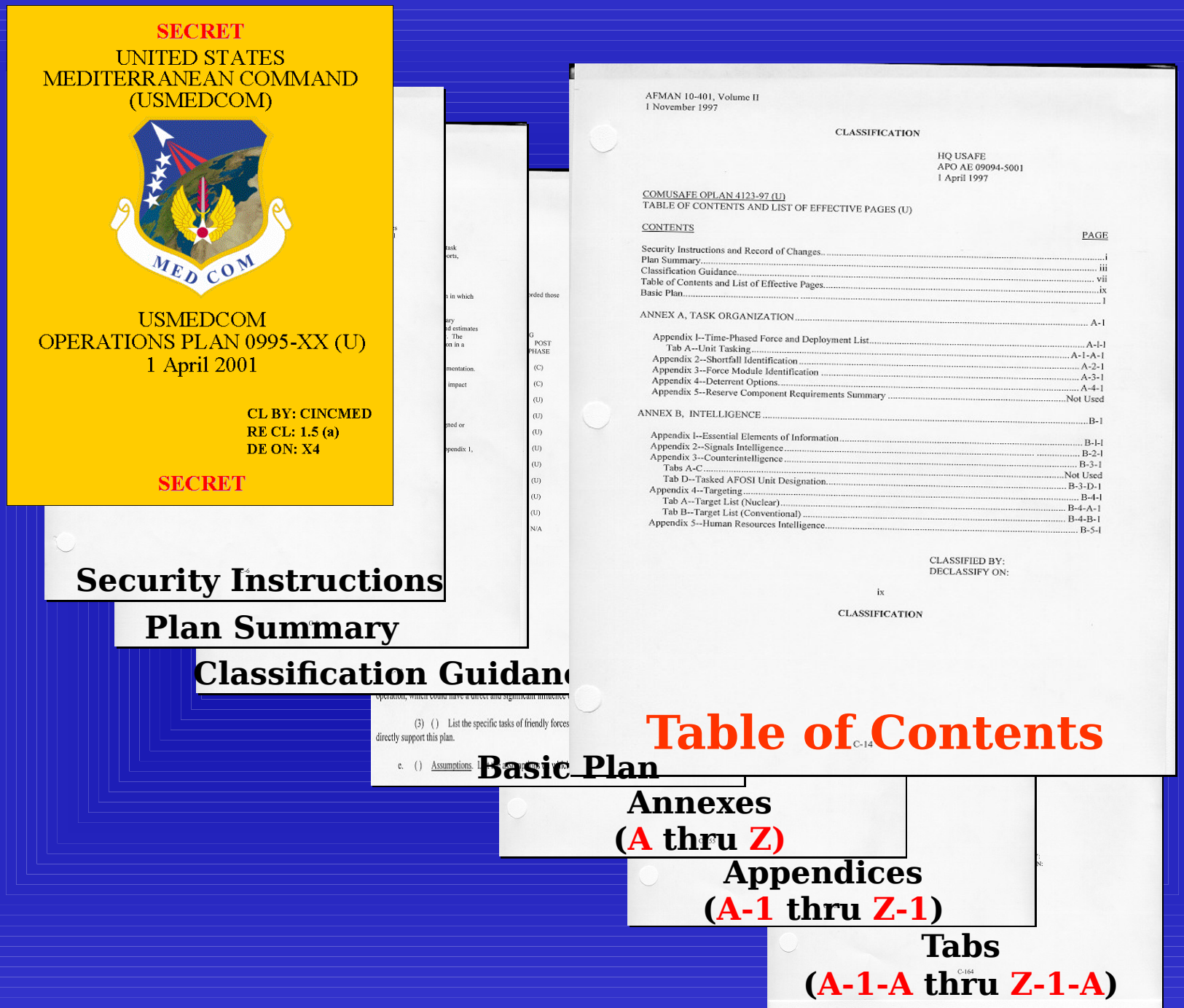
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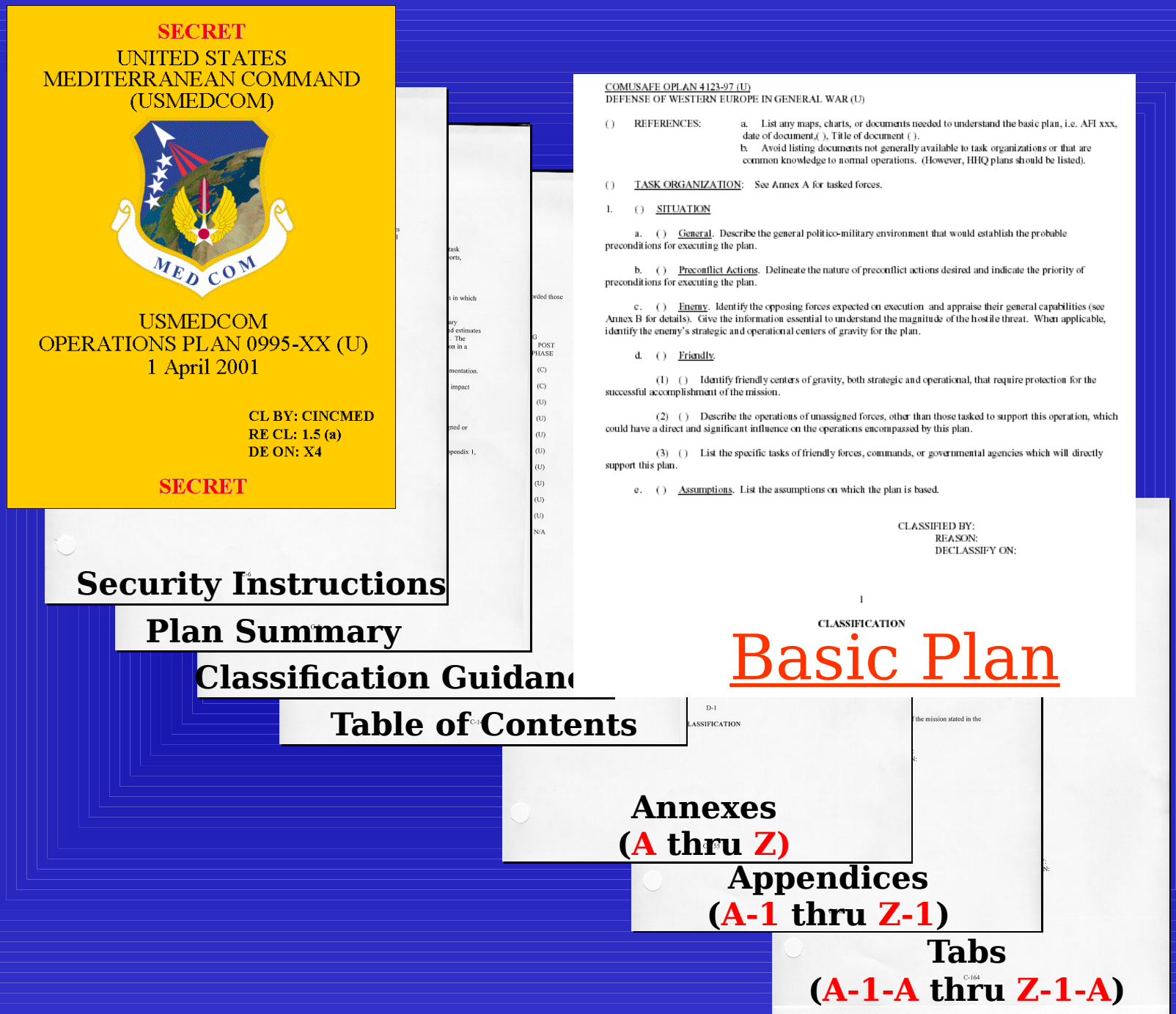
Notice the indexing system:
Annexes are identified by an alpha character
Appendices are identified by a number
Tabs are identified by an alpha character

For example: D-6-A-1 (Pg 1 to Tab "A," to Appendix 6, to Annex "D")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UNITED STATES
MEDITERRANEAN COMMAND
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Table of Contents
COMUSAFE OPLAN 4123-97 (U)
DEFENSE OF WESTERN EUROPE IN GENERAL WAR (U)
( ) REFERENCES: a. List any maps, charts, or documents needed to understand the basic plan, i.e. AFI xxx, date of document,( ), Title of document ( ).
b. Avoid listing documents not generally available to task organizations or that are common knowledge to normal operations. (However, HHQ plans should be listed).
( ) TASK ORGANIZATION: See Annex A for tasked forces.
1. ( ) SITUATION
a. ( ) General. Describe the general politico-military environment that would establish the probable preconditions for executing the plan.
b. ( ) Preconflict Actions. Delineate the nature of preconflict actions desired and indicate the priority of preconditions for executing the plan.
c. ( ) Enemy. Identify the opposing forces expected on execution and appraise their general capabilities (see Annex B for details). Give the information essential to understand the magnitude of the hostile threat. When applicable, identify the enemy's strategic and operational centers of gravity for the plan.
d. ( ) Friendly.
(1) ( ) Identify friendly centers of gravity, both strategic and operational, that require protection for the successful accomplishment of the mission.
(2) ( ) Describe the operations of unassigned forces, other than those tasked to support this operation, which could have a direct and significant influence on the operations encompassed by this plan.
(3) ( ) List the specific tasks of friendly forces, commands, or governmental agencies which will directly support this plan.
e. ( ) Assumptions. List the assumptions on which the plan is based.
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Basic Plan

COMUSAFE OPLAN 4123-97 (U)
DEFENSE OF WESTERN EUROPE IN GENERAL WAR (U)

( ) REFERENCES: a. List any maps, charts, or documents needed to understand the basic plan, i.e. AFI xxx, date of document,( ), Title of document ( ).
b. Avoid listing documents not generally available to task organizations or that are common knowledge to normal operations. (However, HHQ plans should be listed).

( ) TASK ORGANIZATION: See Annex A for tasked forces.

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d. ( ) Friendly.

(1) ( ) Identify friendly centers of gravity, both strategic and operational, that require protection for the successful accomplishment of the mission.

Basic Plan - Annexes

Annexes:

- **A—Task Organization**
- **B—Intelligence**
- **C—Operations**
- **D—Logistics** ← WE'LL TAKE A LOOK HERE
- **E—Personnel**
- **F—Public Affairs**
- **G—Civil Affairs**
- **H—Meteorological & Oceanographic**
- **J—Command Relationships**
- **K—C4I Systems**
- **L—Environmental Factors**
- **M—Mapping, Charting, and Geodesy**
- **N—Space Operations**
- **P—Wartime Host Nation Support (WHNS)**
- **Q—Medical Services**
- **S—Special Technical Operations**
- **V—Interagency Coordination**
- **X—Execution Checklist**
- **Z—Distribution**

(REQUIRED IN OPLANS)

- **Y—Reports (added per AFMAN 10-401, Vol II)**
- **U—Info Management (Added per AFMAN 10-401, Vol II)**
- **V—Safety (Added per AFMAN 10-401, Vol II)**
- **W—Civil Engineers (Added per AFMAN 10-401, Vol II)**

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e. () Assumptions. **B**

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ANNEX D TO COMUSAFE OPLAN 4123-97 (U)
LOGISTICS (U)

( ) REFERENCES List other plans, SOPs, and guidance documents pertinent to logistics in support of this plan.

1. ( ) SITUATION

a. ( ) Enemy. Refer to Annex B.

b. ( ) Friendly. List the organizations that are not subordinate to this command and the specific tasks assigned to each in support of the logistical operations to the plan.

c. ( ) Assumptions. State realistic assumptions and consider the impact of current operations on logistics capabilities. If a logistic assumption is of such significance as to influence the validity of the overall concept, then include that assumption also in the assumptions paragraph of the OPLAN and address alternatives to it.

d. ( ) Resource Availability. Identify significant competing demands for logistic resources where it is anticipated that requirements may exceed resources. Include recommended solutions within resource levels made available for planning, if there are any. Include data generated from IPSS in this paragraph when applicable. Include reasonably assured HNS in all assessments.

e. ( ) Planning Factors. Use approved expenditure per sortie factors contained in the WMP-5 and other USAF documents except where theater experience or local conditions dictate otherwise. Identify the factors use when deviating from standard and approved USAF planning factors, and the reason for such use.

2. ( ) MISSION. State in a clear, concise statement the logistics objectives in support of the basic plan.

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Annex D. Logistics

ANNEX D TO COMUSAFE OPLAN 4123-97 (U)
LOGISTICS (U)

( ) REFERENCES List other plans, SOPs, and guidance documents pertinent to logistics in support of this plan.

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2. ( ) MISSION. State in a clear, concise statement the logistics objectives in support of the basic plan.

3. ( ) EXECUTION

a. ( ) Concept of Logistic Support. State the logistic concept for support operations to implement the plan, including host nation support (HNS).

b. ( ) Tasks. Assign logistic support responsibilities to lower echelon commanders for preparing supporting plans where appropriate.

Annex D, Logistics

4. ( ) ADMINISTRATION AND LOGISTICS

a. ( ) Logistics

(1) ( ) Supply and Distribution. Summarize the following in coordination with other service component commanders if different from standard planning factors. Place detailed discussions in the Appendix 7. Listings of supply depots, terminals, and LOCs should be tabs to the appendix.

(a) ( ) Distribution and Allocation

1. ( ) Identify the main and alternate supply depots or points of supporting terminals to be used or considered.

2. ( ) Identify the specific type of unit, base, or area to which prepositioned logistics resources have been allocated.

3. ( ) Identify existing aerial ports and the known or estimated throughput capability. Indicate the time-phased expansion necessary to support the plan.

4. ( ) Indicate the priorities (US Air Force precedence rating and Force or Activity Designator (FAD) assigned by US Air Force) and the requisitioning policies and procedures.

(b) ( ) Level of Supply

1. ( ) Indicate the time-phased operating and safety levels required to support the plan.

2. ( ) Indicate the quantity of prepositioned War Reserve Materiel (WRM) required to support the time-phased deployments pending resupply.

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APPENDIX 6 TO ANNEX D TO COMUSAFE OPLAN 4123-97 (U)
NONNUCLEAR AMMUNITION (U)

( ) REFERENCES List each document necessary to understand this appendix, including current ammunition studies, joint agreements, operation plans, regulations, publications, and other relevant guidance.

NOTE: If possible, use the *Munitions Planning Guide* produced by the Air Force Combat Ammunition Center to help develop plan contents and perform site surveys.

1. ( ) GENERAL

a. ( ) Purpose. State the purpose of this appendix.

b. ( ) Users. Describe the concept of ammunition supply operations by designating the users to be supported, including where applicable, allied forces and other agencies. Identify the agreements which provide for supporting allied forces and other agencies.

2. ( ) CONCEPT OF AMMUNITION LOGISTICS OPERATIONS. In this paragraph, cover the scheme of munitions supply operations to be employed. Include:

a. ( ) Availability and reliability of in-country ammunition storage, ammunition ship or aircraft unloading facilities, and the ammunition distribution system.

b. ( ) Time-phased requirements for ammunition shipments.

c. ( ) Requirements for US military ammunition ship offloading facilities such as the Army's tactical marine terminal.

d. ( ) Concept of inland distribution.

e. ( ) Requirements for moving munitions within or between theaters, including those facilities available and required for receiving ammunition at the airhead or forward air terminal.

f. ( ) Time-phased buildup of ammunition stock levels in days of supply, including days of supply to accompany the deploying forces.

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Appendices of Annex D, Logistics

Appendices:

1--Petroleum, Oil, and Lubricants Supply
2--Mortuary Services
3--Sustainability Assessment
4--Mobility and Transportation
5--Civil Engineer Support Plan
6--Nonnuclear Ammunition
7--Supply
8--Interservice and Host Nation Support Agreements
9--Subsistence Support
10--Services
11--Contracting

Current Appendices

Appendix 6 to Annex D
Non-Nuclear Ammunition

APPENDIX 6 TO ANNEX D TO COMUSAFE OPLAN 4123-97 (U)
NONNUCLEAR AMMUNITION (U)

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Appendix 6 to Annex D
Non-Nuclear Ammunition

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e. ( ) Requirements for moving munitions within or between theaters, including those facilities available and required for receiving ammunition at the airhead or forward air terminal.

f. ( ) Time-phased buildup of ammunition stock levels in days of supply, including days of supply to accompany the deploying forces.

3. ( ) RESPONSIBILITIES

a. ( ) Assign specific tasks to subordinate commanders, including, when appropriate, JTF commanders.

b. ( ) Establish responsibilities for support by the services' inventory control points.

4. ( ) LIMITING FACTORS. Describe existing factors which may adversely affect ammunition supply operations, such as inadequate air and ocean terminal capacity, lack of storage facilities, inadequate transportation, lack of alternate facilities, and similar limitations.

5. ( ) AMMUNITION REQUIREMENTS. Refer to Tab A to this appendix and compile in prescribed format an estimate of all major items of conventional ammunition, including chemical munitions. Provide document references for other sources of munitions requirements and documentation of shortfalls in PWRMS. Ensure the TPFDD reflects differences between on-hand stocks and requirements as nonunit-related cargo requirements.

Tab: A--Munitions Matrix

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TAB A TO APPENDIX 1 TO ANNEX D TO COMUSAFE OPLAN 4123-97 (U)
ESTIMATE OF POL SUPPORT REQUIREMENTS (U)

Product	Service	Estimated Consumption (M Barrels) D+D-30	D+31-60	D+61-90	D+93-180
AVGAS 115/145	TOTAL				
	US ARMY				
	US AIR ORCE				
	US NAVY				
	US MARINE CORPS				

NOTE: The supported commander determines the duration of the support operations.

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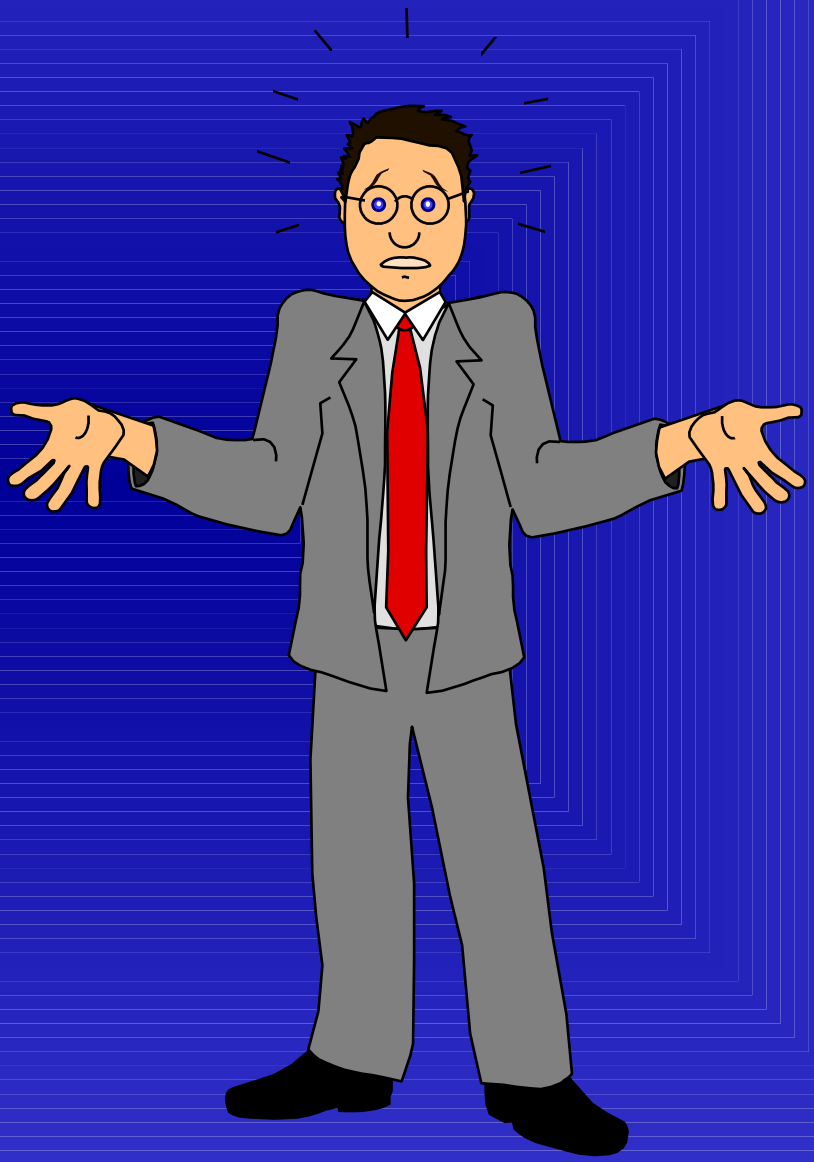
Tab A to Appendix 6 to Annex D
Non-Nuclear Ammunition

TAB A TO APPENDIX 6 TO ANNEX D TO COMUSAFE OPLAN 4123-97 (U)
MUNITIONS MATRIX (U)

		STOCK LEVEL (EACH ITEM)					
		ESTIMATED CONSUMPTION (EACH ITEM) PRE-POSITIONED/PRESTOCKED					
ITEM	BASE	D-D+30	D+31-60	D+61-90	D+91-180	WRM	WRM
MK-81	Lakenheath AB						
	Hahn AB						
	Bitburg AB						
	Total						
M-117	Lakenheath AB						
	Hahn AB						
	Bitburg AB						
	Total						

NOTE: The duration of support operations will be determined by the commander of the unified command.

So How Do We Start Planning ?



Collect the Planning Documents

- JSCP
- JOPES Vols I and II
- WMP Vols I-V
- AFMAN 10-401, VOLS I and II
- TPFDD LOI / Planning Directive
- TPFDD

General Planning Guidance

- Essentially, “research”

- Determine the Why’s, Where’s, Who’s, etc.

  -- Why are we writing a plan?

  -- What’s the CINC been tasked with?

  -- Where are the forces going?

  -- What type forces?

  -- What’s the CINC’s direction to the

  supporting commanders?

Functional Area Planning

- Narrow the scope to your functional area

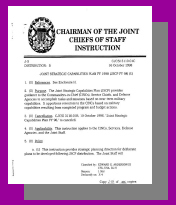
- Determine the Why's, Where's, Who's, etc., within your functional area

- Start putting pen to paper...

Planning Guidance and Reference

Sources

JSCP



WMP

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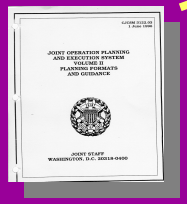
TPFDD

SUPPORTING PLANS

COMBATANT COMMANDER'S PLAN



Formats

JOPES

AFMAN 10-40

In Summary…

- Policy and Guidance (AFMAN 10-401 Vol. I)
- Example Operation Plan (AFMAN 10-401 Vol. II)
- Planning Documents
- A Look at General or Functional Planning

Questions ?